



PTO/SB/08A (08-03)

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	1	of	3	Application Number	10/695,255
				Filing Date	October 27, 2003
				First Named Inventor	KLEIN, ENRIQUE J.
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	020460-000750US

**U.S. PATENT DOCUMENTS+**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
AS	1	4,776,337	10-11-1988	Palmaz	
	2	5,064,435	11-12-1991	Porter	
	3	5,087,247	02-11-1992	Horn et al.	
	4	5,102,417	04-07-1992	Palmz	
	5	5,104,404	04-14-1992	Wolf	
	6	5,135,536	08-04-1992	Hillstead	
	7	5,195,984	03-23-1993	Schatz	
	8	5,228,441	07-20-1993	Lundquist	
	9	5,243,167	09-07-1993	Lundquist et al.	
	10	5,282,824	02-01-1994	Gianturco	
	11	5,328,467	07-12-1994	Edwards et al.	
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	15	5,383,892	01-24-1995	Cardon et al.	
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	17	5,449,373	09-12-1995	Pinchasik et al.	
	18	5,514,154	05-07-1996	Lau et al.	
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	20	5,591,197	01-07-1997	Orth et al.	
	21	5,591,230	01-07-1997	Horn et al.	
	22	5,593,442	01-14-1997	Klein	
	23	5,607,442	03-04-1997	Fischell et al.	
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	25	5,741,327	04-21-1998	Frantzen	
	26	5,755,776	05-26-1998	Al Saadon	
	27	5,755,781	05-26-1998	Jayaraman	
	28	5,766,238	06-16-1998	Lau et al.	
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	30	5,807,404	09-15-1998	Richter	
	31	5,964,798	10-12-1999	Imran	
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	33	6,068,656	05-30-2000	Von Oepen	
	34	6,099,561	08-08-2000	Alt	
	35	6,402,777	06-11-2002	Globerman et al.	

Examiner  
Signature

A. Stewart

Date  
Considered

09/22/04

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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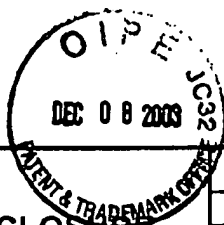
Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/695,255
		Filing Date	October 27, 2003
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		Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet 2	of 3	Attorney Docket Number	020460-000750US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	† <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
AS ↓ AS	36	EP	481365		04-22-1992	WOLFRAM et al.		<input type="checkbox"/>
	37	EP	540290		05-05-1993	LILIP et al.		<input type="checkbox"/>
	38	EP	662307		07-12-1995	SHARON		<input type="checkbox"/>
	39	EP	750890		01-02-1997	BERTN et al.		<input type="checkbox"/>
	40	CA	2,079,944		04-12-1993	WOLFRAM et al.		<input type="checkbox"/>
	41	WO	92/06734		04-30-1992			<input type="checkbox"/>

The PTO did not receive the following  
listed item(s) 109 EP, NL

Examiner Signature	<u>A. Stewart</u>	Date Considered	<u>09/22/04</u>
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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/695,255
		Filing Date	October 27, 2003
		First Named Inventor	KLEIN, ENRIQUE J.
		Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	020460-000750US
Sheet	3	of	3

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
AS	42	Hodgson, "Focal stenting: Does it make sense?" Catheterization and Cardiovascular Diagnosis (1997) 42:137.	
	43	Lowe et al., "New balloon expandable stent for bifurcation lesions" Catheterization and Cardiovascular Diagnosis (1997) 42:235-236.	
	44	Mudra et al., "One balloon approach for optimized Palmaz-Schatz Stent Implantation: The MUSCAT Trial" Catheterization and Cardiovascular Diagnosis (1997) 42:130-136.	
	45	Product Brochure for "Freedom™ Coronary Stent" by Global Therapeutics, Inc., 2150 West 6th Avenue, Broomfield, CO, 80020 USA, 3 pages total.	
	46	Product Brochure for "V-Flex™ Coronary Stent" by Global Therapeutics, Inc., 2150 West 6th Avenue, Broomfield, CO, 80020 USA, 2 pages total.	
	47	Product Brochure for "Tapered USCI Sprint™ Over-the-wire Balloon Dilation Catheter with Banka™ Tapered Balloon" by Bard, 1200 Technology Park Drive, Billerica, MA, 01821-7025 USA, 2 pages total.	
	48	Product Brochure for "Jostent® Plus and Jostent® Flex" by Jomed International AB, Drottninggatan 94, S-252 21 Helsingborg, Sweden, 4 pages total.	
	49	Product Brochure for "The Cat™" by CardioVascular Dynamics, Inc., 13844 Alton Parkway, Suite 140, Irvine, CA 92718 USA, 2 pages total.	
	50	Product Brochure for "Paragon Coronary Stent™" by Progressive Angioplasty Systems Canada Inc., 5250 Ferrier Street, Suite 406, Montreal, Quebec, H4P 1L4 Canada, 2 pages total.	
AS	51	Product Brochure for "FocusStent™" by CardioVascular Dynamics, Inc., 13844 Alton Parkway, Suite 140, Irvine, CA 92718 USA, 2 pages total.	

Examiner Signature	A. Stewart	Date Considered	09/29/04
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